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JC951 U.S. PTO

PTO/SB/13/PCT (10-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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## REQUEST FOR FILING A CONTINUATION OR DIVISION OF AN INTERNATIONAL APPLICATION

DOCKET NUMBER	ANTICIPATED CLASSIFICATION OF THIS APPLICATION		PRIOR APPLICATION EXAMINER	ART UNIT
16787-2	CLASS	SUBCLASS		

JC903 U.S. PTO

10/055049



01/23/02

Address to:

Assistant Commissioner for Patents  
Washington, D.C. 20231

This is a request for filing a ☒ continuation ☐ divisional application under 37 CFR 1.53(b) of pending prior international application Number PCT EP00/ 07550, filed on 8/3/00 entitled \_\_\_\_\_  
DEVICE FOR EXTRUDING PLASTIC COMPOUNDS

which designated the United States.

Note: 37 CFR 1.53(d) cannot be used to file a continuation or divisional application of an international application which has not entered the national stage.

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
TOTAL CLAIMS (37 CFR 1.16(c))	18	- 20 =	0	x \$ _____ =	\$ 0
INDEPENDENT CLAIMS (37 CFR 1.16(b))	1	- 3 =	0	x \$ _____ =	0
MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))				+ \$ 280 =	280
BASIC FEE (37 CFR 1.16(a))				+ 740	
Total of above Calculations =					1,020
Reduction by 50% for filing by small entity (Note 37 CFR 1.27).					1,020
Total =					1,020

- Enclosed are the specification, claims and drawing(s).
- ☐ Applicant claims small entity status. See 37 CFR 1.27.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required under 37 CFR 1.16 and 1.17, or credit any overpayment to Deposit Account No. 23-3030. A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of \$ 1,020 is enclosed.
- ☐ Payment by credit card. Form PTO-2038 is attached.
- ☐ Application Data Sheet is enclosed. See 37 CFR 1.76.
- ☒ Amend the specification by inserting before the first line the sentence: "This application is a ☒ continuation ☐ division of international application number PCT EP00/ 07550, filed 8/3/2000 (status, abandoned, pending, etc.)."

[Page 1 of 2]

Burden Hour Statement: This form is estimated to take 0.5 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231

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# REQUEST FOR FILING A CONTINUATION OR DIVISION OF AN INTERNATIONAL APPLICATION

8. ☐ A declaration under 37 CFR 1.63 is enclosed.
9. ☒ Priority of foreign application number 19936 827.9, filed on 8/5/99 in Germany is claimed under 35 U.S.C. 119(a)-(d).
- ☒ The certified copy is enclosed.
10. ☒ A preliminary amendment is enclosed.
11. ☒ Also enclosed: See below\*

Address all future correspondence to: (May only be completed by applicant, or attorney or agent of record).

Clifford W. Browning, Woodard, Emhardt, Naughton, Moriarty & McNett, Bank One Center/Tower, 111 Monument Circle, Ste 3700, Indianapolis, IN 46204-5137

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

March 2, 2001

Date



Signature

Clifford W. Browning

Typed or printed name

**\* Enclosures:**

1. English Language Translation of the International Application as filed
2. Substitute Specification (37 CFR 1.125)
3. International Search Report
4. International Preliminary Examination Report
5. English Translation of the Annexes to the International Preliminary Examination Report Under PCT Article 36 (35 U.S.C. 371(c)(5)).

- ☐ Inventor(s)/Applicant(s)
- ☐ Assignee of the entire interest. See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).
- ☐ Attorney or agent of record
- ☒ Filed under 37 CFR 1.34(a)  
Registration number if acting under 37 CFR 1.34(a). 32,201

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☒ \*Total of 1 forms are submitted.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

JC903 U.S. PRO  
10/055049  
01/23/02

In re patent )  
application of: )  
Markus Noller et al. )  
In Continuation of International )  
Application No. PCT/EP00/07550 )  
Filed August 3, 2000 )  
DEVICE FOR EXTRUDING PLASTIC )  
COMPOUNDS ) January 23, 2002

SUBSTITUTE SPECIFICATION (37 CFR 1.125)

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

Applicants tender herewith a substitute specification under 37 CFR 1.125, which incorporates the amended sheets that are Annexes to the enclosed International Preliminary examination Report.

No new matter is included in the substitute specification.

Attached hereto is a marked up version of the substitute specification showing all the changes made by the Amended Sheets that are the Annexes to the International Preliminary Examination Report.

Express Mail Label No. EL916999641US

Date of Deposit: January 23, 2002

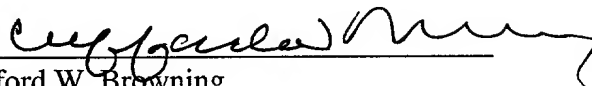
I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR §1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, DC 20231.

*Sheryl A. Hutchings*

Signature of person mailing paper or fee

Also enclosed is a clean form copy of the substitute specification without markup as to amended material.

Respectfully submitted,

By:   
Clifford W. Browning  
Reg. No. 32,201  
Woodard, Emhardt, Naughton,  
Moriarty & McNett  
Bank One Center/Tower  
111 Monument Circle, Suite 3700  
Indianapolis, Indiana 46204-5137  
(317) 634-3456

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